



Department of Energy
Washington, DC 20585

April 3, 2017

MEMORANDUM FOR **DOE HEADS OF CONTRACT ACTIVITY (HCAs), BUDGET DIRECTORS, AND FIELD CFOs**

FROM: *Alison L. Doone*
ALISON L. DOONE
ACTING CHIEF FINANCIAL OFFICER AND DOE SENIOR ACCOUNTABLE OFFICIAL (SAO) FOR DATA ACT

SUBJECT: Coding of Appropriated vs Non-Appropriated for Approved Financial Exceptions (AFE) and Cut-Off of Obligations in STARS and STRIPES Between Periods

In preparation for the April 30, 2017 deadline to initiate DATA Act reporting to Treasury and OMB for the May, DATA Act implementation, the Office of the Chief Financial Officer (CFO) conducted extensive testing of DOE transactions in the Treasury DATA Act Broker for the last several months. During those tests, we noted a number of warning messages produced by the Treasury DATA Act Broker regarding differences between obligation amounts from the financial system (STARS) and the procurement obligation amounts reported to FPDS-NG from the procurement system, STRIPES. The two most prevalent issues are discussed below with the required actions to correct the discrepancies, and therefore, improve the accuracy of the DOE data submissions. We appreciate your prompt attention to these matters to ensure the accuracy of DOE data submitted to Treasury for DATA Act implementation.

Appropriated (A) vs. Non-Appropriated (N) Funds Type

In researching these differences, it appears most of them relate to Award IDs marked as Approved Financial Exception (AFE), specifically, the designation of funds as either appropriated or non-appropriated. STARS records down to the specific Fund Values which are designated in STARS as either appropriated or non-appropriated.

However, for the Award IDs marked AFE, STRIPES users / program office staff enter only A for Appropriated or N for Non-Appropriated in the Funds Type field at the time they are creating the requisition on the Funding Page in STRIPES. Given that only appropriated (A) funds are reported to FPDS-NG, the A vs. N designations in STRIPES determine what gets transmitted to FPDS-NG for those Award IDs, and therefore, determines the accuracy of the information reported in FPDS-NG, an authoritative source for the DATA Act.

To assist in coding and reviewing the A vs. N designation for your AFE Award ID obligations in STRIPES, the following table provides the STARS fund categories and Fund Values that are

Non-Appropriated. These also are the values that are causing most of the warning messages in the Treasury DATA Act broker. Please refer to this list when coding obligations using these funding sources to ensure the proper Non-Appropriated “N” designation in STRIPES.

Funding	STARS Fund Value	STARS Fund Description	A vs. N Designation to be Recorded in STRIPES
Non-Federal Reimbursable Work	00108	089-X-0212 - FERC Reimbursable Work Technology Transfer Activities (was 1A)	N
	00109	089-X-0212 - FERC Reimbursable Work with Non-Federal Entities (was 2A)	N
	00160	089-X-0213 - FER&D Reimbursable Work Technology Transfer Activities (was 1J)	N
	00161	089-X-0213 - FER&D Reimbursable Work with Non-Federal Entities (was 2J)	N
	00407	089-X-0218 - SPR Reimbursable Work with Non-Federal Entities	N
	00510	089-X-0222 - Science Reimbursable Work Technology Transfer Activities (was 1W)	N
	00511	089-X-0222 - Science Reimbursable Work with Non-Federal Entities (was 2W)	N
	00516	089-X-0222 - Science Recovery Act Reimbursable Work with Non-Federal Entities (was 2D)	N
	00517	089-X-0222 - Science Reimbursable Work Agreements for Commercializing Technology	N
	00554	089-X-0224 - ESC Reimbursable Work Technology Transfer Activities (was 1Y)	N
	00555	089-X-0224 - ESC Reimbursable Work with Non-Federal Entities (was 2Y)	N
	00663	089-X-0228 - DA Reimbursable Work Technology Transfer Activities (was 1S)	N
	00664	089-X-0228 - DA Reimbursable Work with Non-Federal Entities (was 2S)	N
	00682	089-16/17-0228 - DA Reimbursable Work Technology Transfer Activities	N
	00683	089-16/17-0228 - DA Reimbursable Work with Non-Federal Entities	N
	00908	089-X-0240 - WA Reimbursable Work Technology Transfer Activities (was 1T)	N
	00909	089-X-0240 - WA Reimbursable Work with Non-Federal Entities (was 2T)	N
	01056	089-X-0243 - ODA Reimbursable Work with Non-Federal Entities (was 2F)	N
	01057	089-X-0243 - ODA Reimbursable Work Technology Transfer Activities (was 1F)	N
	01063	089-X-0243 - ODA Reimbursable Work Agreements for Commercializing Technology	N
	01352	089-X-0302 - SEPA Reimbursable Work with Non-Federal Entities (was 2L)	N
	01403	089-X-0303 - SWPA Reimbursable Work with Non-Federal Entities (was 2N)	N
	01758	089-X-0315 - NDEC Reimbursable Work Technology Transfer Activities (was 1H)	N
	01759	089-X-0315 - NDEC Reimbursable Work with Non-Federal Entities (was 2H)	N
	02503	089-X-5068 - WAPA Reimbursable Work with Non-Federal Entities (was 2U)	N
	02653	089-X-5178 - Falcon & Amistad Reimbursable Work with Non-Federal Entities	N
	05301	089-X-0318 - OE Reimbursable Work Technology Transfer Activities (was 1B)	N
	05302	089-X-0318 - OE Reimbursable Work with Non-Federal Entities (was 2B)	N
	05352	089-X-0319 - NE Reimbursable Work with Non-Federal Entities (was 2C)	N
	05354	089-X-0319 - NE Reimbursable Work Technology Transfer Activities (was 1C)	N
	05356	089-X-0319 - NE Reimbursable Work Agreements for Commercializing Technology	N
	05460	089-X-0321 - EERE Reimbursable Work Agreements for Commercializing Technology	N
	05497	089-X-0321 - EERE Reimbursable Work Technology Transfer Activities (was 1Z)	N
	05498	089-X-0321 - EERE Reimbursable Work with Non-Federal Entities (was 2Z)	N
	06452	089-X-4404 - WAPA Borrowing Authority, ARRA, Non-Federal Reimbursable Work	N
	06602	089X0337 - APRA-E Reimbursable Work with Non-Federal Entities	N
	06603	089X0337 - ARPA-E Technology Transfer Activities	N
Inter-Entity	00911	089-X-0240 - Reconciling Transfers/Work for Other DOE Locations (Admin) (was YZ)	N
	00922	089-X-0240 - Transfers Activity - DOE PAC Phase II (Admin) (was YY)	N
Isotopes	02300	089-X-4180 - Isotope Production and Distribution Fund (P.L. 101-101 FY90 103 STAT 659) (was VE)	N
Nuclear Waste Fund	02800	089-X-5227 - Nuclear Waste Fund (NWF) Primary Fund (42 USC 1022; 31 USC 3513) (was WD)	N

Recording of Procurement Obligations in the Same Month in STARS and STRIPES

In addition to the above A vs. N issues, there are issues with procurement obligations being recorded in different months between STARS and STRIPES for Award IDs marked as AFE. The Award Date in STRIPES is the date the contract is signed, and the obligation is incurred. Therefore, it is imperative that the obligation date in the financial system and the Award Date in STRIPES both be in the same month. The Treasury DATA Act Broker compares all obligation transactions by Award ID each month between STARS and FPDS-NG and will flag differences. Please work with your finance counterparts to ensure that the financial obligation and procurement obligation are recorded in the same month. This is especially important for obligations entered in the last few days of the month or the first few days of the next month because the financial system has both months open concurrently.

Please add the above steps to your existing operating procedures to ensure that all procurement and financial obligation amounts are entered in the same month.

If you have questions regarding the items discussed above, please contact the following CFO staff for assistance.

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